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 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/900,101	07/06/2001	Brent Carlson	2003696-0003

CONFIRMATION NO. 4414

24280
 Choate, Hall & Stewart
 Exchange Place
 53 State Street
 Boston, MA 02109

FORMALITIES LETTER



OC000000006461940

Date Mailed: 08/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

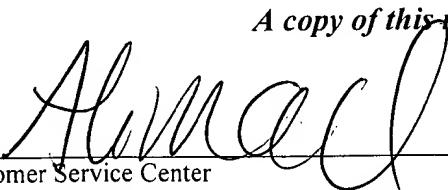
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/11/2001 BABRAHA1 00000059 09900101

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Sequoia

Attorney Docket No.: 2003696-0003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Carlson, *et al* Examiner:
Serial No.: 09/900,101 Art Unit: 2171
Filing Date: July 6, 2001
Title: TARGETED ASSET CAPTURE, IDENTIFICATION, AND
MANAGEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

TRANSMITTAL

Enclosed herewith please find:

- 1) Response to Notice to File Missing Parts (2 pgs.)
- 2) Notice to File Missing Parts;
- 3) Check in the amount of \$65.00 for the 37 C.F.R. 1.16(e) surcharge;
- 4) Executed Declaration (3 pgs.);
- 5) Copy of Executed Assignment (3 pgs.);
- 6) Executed Appointment of Attorney;
- 7) Verified Statement Claiming Small Entity Status (2 pgs.);
- 8) Establishing Right of Assignee to Take Action (37 C.F.R. 3.73(b)) (2 pgs.); and
- 9) Return postcard.

Please charge any fees associated with this filing, or apply any credits, to our Deposit Account No. 03-1721.

Respectfully submitted,



Elizabeth E. Nugent, Ph.D.
Registration Number 43,839

Choate, Hall & Stewart
Exchange Place
53 State Street
Boston, MA 02109
(617) 248-5000
Dated: October 5, 2001

3320899v1

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231

on 10/5/01
Susan M. Dinsmore



Attorney Docket No.: 2003696-0003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Carlson, *et al*

Examiner:

Serial No.: 09/900,101

Art Unit: 2171

Filing Date: July 6, 2001

Title: TARGETED ASSET CAPTURE, IDENTIFICATION, AND
MANAGEMENT

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice to File Missing Parts in the above-referenced application, mailed by the U.S. Patent and Trademark Office on August 23, 2001, enclosed herewith are the following

- 1) Notice to File Missing Parts;
- 2) Check in the amount of \$65.00 for the 37 C.F.R. 1.16(e) surcharge;
- 3) Executed Declaration (3 pgs.);
- 4) Copy of Executed Assignment (3 pgs.);
- 5) Executed Appointment of Attorney;
- 6) Verified Statement Claiming Small Entity Status (2 pgs.);
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Respectfully submitted,



Elizabeth E. Nugent, Ph.D.
Registration Number 43,839

Choate, Hall & Stewart
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53 State Street
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(617) 248-5000
Dated: October 5, 2001

3320884v1

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231

on 10/5/01

